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Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 4

Complete, if Known

Application Number	101040680
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Filing Date	03/05/02
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First Named Inventor	Gunter
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Art Unit	213
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Examiner Name

Attorney Docket Number	53087-5009
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code* (if known)			
pwk	A	US- 6,216,116	04/10/01	Barkan et al.	
pwk	B	US- 5,659,616	08/19/97	Suda	
pwk	C	US- 5,903,882	05/11/99	Asay et al.	
pwk	D	US- 5,943,423	08/24/99	Muttic	
pwk	E	US- 5,978,484	11/02/99	Apperson et al.	
pwk	F	US- 5,960,085	09/28/99	De la Huerqa	
pwk	G	US- 6,003,014	12/14/99	Lee et al.	
pwk	H	US- 5,901,284	05/04/99	Hamdy-Swink	
pwk	I	US- 5,872,848	02/16/99	Komney et al.	
pwk	J	US- 5,784,403	07/21/98	Chen et al.	
pwk	K	US- 5,761,309	06/02/98	Chashi et al.	
pwk	L	US- 5,757,920	05/25/98	Misra et al.	
pwk	M	US- 5,754,654	05/19/98	Hirova et al.	
pwk	N	US- 5,694,471	12/02/97	Chen et al.	
pwk	O	US- 5,412,717	05/02/95	Fischer	
pwk	P	US- 5,339,403	08/16/94	Parker	
pwk	Q	US- 5,261,002	11/09/93	Perlman et al.	
pwk	R	US- 5,315,657	05/24/94	Abadi et al.	
pwk	S	US- 5,214,702	05/25/93	Fischer	
pwk	T	US- 4,868,877	09/19/89	Fischer	

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Paula Klingach

Date
Considered

28 November 2005

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if

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PTO
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PTO/SB/08B (10-01)
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Substitute for form 1449B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/090,680
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
Sheet 2 of 4	Attorney Docket Number	53087-5009	

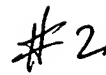
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PWK	U	Blazc et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp.1-32.	
PWK	V	Gunter et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience September 21, 2000 vol. 3, pp.1609-1640.	
PWK	W	Gunter et al., "GENERALIZED CERTIFICATE REVOLUTION", ACM Symposium on Principles of Programming Languages, January 2000, pp.1-14.	
PWK	X	Kakkar et al., "CERTIFICATE DISTRIBUTION WITH LOCAL Autonomy", International Workshop on Active Networks, October 2000, pp.1-19.	
PWK	Y	Blazc et al., "DECENTRALIZED TRUST MANAGEMENT", PROC. IEEE Conference on Security and Privacy, May 1996, pp.1-23.	
PWK	Z	Herzberg et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS, PP. 1-13.	
PWK	AA	Rivest, et al., "SDSI-A SIMPLE DISTRIBUTION SECURITY INFRASTRUCTURE", APRIL 30, 1996, pp. 1-37.	
PWK	BB	C. ELLISON, "SPKI REQUIREMENTS," THE Internet Society, September 1999, pp.1-14	

Examiner Signature	Paula Klimach	Date Considered	28 November 2002
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

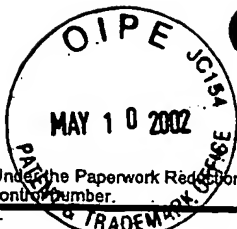
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO		Complete if Known	
		Application Number	10/090,680 Technology Center 2100
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
		Attorney Docket Number	53087-5009
Sheet	4	of	4

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
PWK	JJ	Ellison et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.	
PWK	KK	Muller, "BLUE TOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33	
PWK	LL	Metzger, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20	
PWK	MM	Maywah, "AN IMPLEMENT OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.	
PWK	NN	DAN BLACKMAN, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, MAY 15, 1998, pp. 170,171	
PWK	OO	Ellison, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3.	
PWK	PP	IEEE, www.ieee.org , 13 pages	
PWK	QQ	Infrared Data Association, www.irda.org , 93 pages	
PWK	RR	Microsoft .Net Passport Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
PWK	SS	.NET PASSPORT OVERVIEW: //13/ http://www.microsoft.com/my services/passport/overview.asp , pp. 1-7; //21/ http://www.microsoft.com/my services/passport/overview.asp , P. 1.	

Examiner Signature	Paula Klimach	Date Considered	28 November 2002
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
053087-5009

SERIAL NO.
10/090,680

APPLICANT
Gunter

FILING DATE
March 5, 2002

GROUP

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
pwk	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
pwk	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
pwk	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
pwk	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
pwk	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
pwk	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
pwk	G	5	5	7	7	1	2	0	11/19/96	Penzias			
pwk	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
pwk	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
pwk	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
pwk	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
pwk	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

pwk	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.											

EXAMINER

Paula Klinach

DATE CONSIDERED

4 June 2003

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